



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: William J. Goetzinger et al : Date: May 8, 2002
Group Art Unit: 2661 : IBM Corporation
Examiner: Unknown : Intellectual Property Law
Serial No.: 10/004,440 : Dept. 917, Bldg. 006-1
Filed: November 1, 2001 : 3605 Highway 52 North
Title: QoS SCHEDULER AND METHOD FOR : Rochester, MN 55901
IMPLEMENTING QUALITY OF SERVICE
WITH CACHED STATUS ARRAY

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 10/004,440

Docket No. ROC920010205US1

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

May 8, 2002

(Date of Deposit)

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Respectfully submitted,

WILLIAM J. GOETZINGER ET AL

By

Leslie J. Payne

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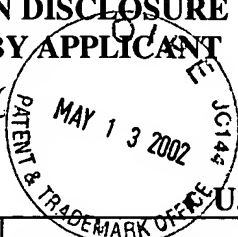
Rochester, Minnesota 55901-7829

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PTO/SB/08A

Application Number.: 10/004,440
 Filing Date: November 1, 2001
 First Named Inventor: William Goetzinger
 Art Unit: 2661
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920010205US1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Sheet 1 of 4


U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number Number - Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns or Lines Where Relevant Passages or Figs. Appear |
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| Examiner Signature | | Date Considered | |
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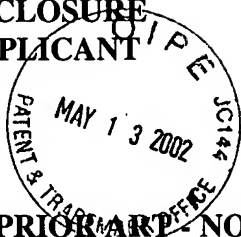
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⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

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 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920010205US1

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STATEMENT BY APPLICANT**
Sheet 2 of 4
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published. | T ² |
|-------------------|-----------------------|---|----------------|
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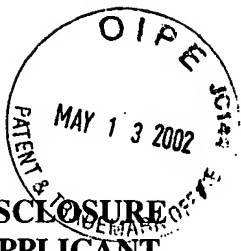
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Sheet 3 of 4

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Sheet 4 of 4

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